

PARTNERS FINANCE

BUSINESS CONTINUITY PLANNING (BCP)

PCR Finance Group, LLC DBA Partners Finance (“Partners Finance” the “Company” or “Firm”) is registered with the Securities and Exchange Commission (“SEC”) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (“FINRA”) and the Securities Investor Protection Corporation (“SIPC”). The Company has a limited and restricted business model and conducts business solely as a private placement agent. As a provider of such services, the Company maintains and preserves such books and records pertaining thereto pursuant to the requirements of Rule 17a-3 and 17a-4. Partners Finance has developed a Business Continuity Plan with respect to how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan. For additional information in connection with our policies and procedures please contact us.

Contacting Us – The Company conducts business solely as a private placement agent. Towards this end, the only investments that we recommend are interests in funds. The funds will invest in various investment interests. These interests are unregistered and offered on a best-efforts basis under Regulation D. The offering of interests is made by the delivery of a Private Placement Memoranda (PPM) or other similar governing agreements/subscription documents. Offerings will only be made to investors who meet the definition of accredited investor. As a provider of such services, the Company maintains and preserves such books and records pertaining thereto pursuant to the requirements of Rule 17a-3 and 17a-4, as are customarily made and kept by a limited scope broker or dealer. If after a significant business disruption, you cannot contact us as you usually do at 1360 Post Oak Blvd., Suite 1900, Houston, TX 77056, (713) 985-4413, you should go to our website.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our financial advisors, employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. Our business continuity plan addresses: data backup and recovery; all mission critical systems; operational assessments; alternative communications with customers, financial advisors, employees, and regulators; alternate physical location of employees; critical supplier impact; regulatory reporting; and assuring our customers prompt access to their securities if we are unable to continue our business.

Customers’ Access to Funds and Securities – The Firm does not maintain custody of funds or securities.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In either situation, we plan to continue in business and notify you through our website. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customer’s prompt access to their information.

Emergency Contact Persons – In accordance with FINRA 4370(c)(4), our Firm’s emergency contact person is Mr. Brett Chiles, Managing Director and Principal. This name(s) will be updated in the event of a material change, and our Executive Representative will review them within 17 business days of the end of each quarter.

More information – If you have questions about our business continuity, please contact us at (713) 985-4413.